

U.S. Department of Justice

Washington, DC 20530

**Supplemental Statement****Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending 9/30/2015

(Insert date)

**I - REGISTRANT**

1. (a) Name of Registrant

(b) Registration No.

Luxcore, Ltd.

4901

(c) Business Address(es) of Registrant

255 Drake Avenue, No. 7

New Rochelle, NY 10805

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

(1) Residence address(es) Yes ☐ No ☐(2) Citizenship Yes ☐ No ☐(3) Occupation Yes ☐ No ☐

(b) If an organization:

(1) Name Yes ☐ No ☒(2) Ownership or control Yes ☐ No ☒(3) Branch offices Yes ☐ No ☒

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

**IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).**3. If you have previously filed Exhibit C<sup>1</sup>, state whether any changes therein have occurred during this 6 month reporting period.Yes ☐No ☒

If yes, have you filed an amendment to the Exhibit C?

Yes ☐No ☐

If no, please attach the required amendment.

<sup>1</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐No ☒

If yes, furnish the following information:

Name

Position

Date Connection Ended

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐No ☒

If yes, furnish the following information:

Name

Residence Address

Citizenship

Position

Date Assumed

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐No ☐

If yes, identify each such person and describe the service rendered.

N/A

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

Name

Residence Address

Citizenship

Position

Date Assumed

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name

Position or Connection

Date Terminated

(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name

Position or Connection

Foreign Principal

Date Terminated

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐No ☐

If no, list names of persons who have not filed the required statement.

N/A

**II - FOREIGN PRINCIPAL**

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal

Date of Termination

8. Have you acquired any new foreign principal(s)<sup>2</sup> during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)<sup>2</sup> whom you continued to represent during the 6 month reporting period.

Luxembourg Trade & Investment Office  
The Luxembourg House  
17 Beekman Place  
New York, NY 10022

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A<sup>3</sup> Yes ☐ No ☐

Exhibit B<sup>4</sup> Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

<sup>2</sup> The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

<sup>3</sup> The Exhibit A, which is filed on Form NSD-3 (Formerly CRM-157) sets forth the information required to be disclosed concerning each foreign principal.

<sup>4</sup> The Exhibit B, which is filed on Form NSD-4 (Formerly CRM-155) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

**III - ACTIVITIES**

11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

If yes, identify each foreign principal and describe in full detail your activities and services:

Luxembourg Trade & Investment Office: Providing counseling; supporting meetings and other gatherings; contacting American business organizations on the client's behalf.

12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity<sup>5</sup> as defined below? Yes ☒ No ☐

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

Please see Attachment Page 1.

13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☒ No ☐

If yes, describe fully.

During the previous six months, I have examined and evaluated ads created by competing national economic development agencies.

<sup>5</sup> The term "political activity" means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

## IV - FINANCIAL INFORMATION

## 14. (a) RECEIPTS-MONIES

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.<sup>6</sup>

Date	From Whom	Purpose	Amount
Please see Attachment Page 2.			

\$32,620.00

Total

## (b) RECEIPTS - FUNDRAISING CAMPAIGN

During this 6 month reporting period, have you received, as part of a fundraising campaign<sup>7</sup>, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date \_\_\_\_\_

## (c) RECEIPTS-THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value<sup>9</sup> other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

Foreign Principal	Date Received	Thing of Value	Purpose

6, 7 A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

8 An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

9 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

**15. (a) DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

Date	To Whom	Purpose	Amount
Please see Attachment Page 3.			

\$ 231.27

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Total

**(b) DISBURSEMENTS-THINGS OF VALUE**

During this 6 month reporting period, have you disposed of anything of value<sup>10</sup> other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

Date	Recipient	Foreign Principal	Thing of Value	Purpose
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**(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS**

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value<sup>11</sup> in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐No ☒

If yes, furnish the following information:

Date	Amount or Thing of Value	Political Organization or Candidate	Location of Event
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10, 11 Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks" and the like.

## V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?<sup>12</sup>  
 Yes ☒ No ☐

If Yes, go to Item 17.

- (b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?  
 Yes ☐ No ☐

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

Luxembourg Trade & Investment Office

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☒ No ☐

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

The Luxembourg Trade and Investment Office established a 2015 budget for Luxcore, Ltd.'s services in the amount of \$62,000. during the previous six month reporting period. It was signed by the Luxembourg Ministry of the Economy and Foreign Trade on December 19, 2014.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- ☐ Radio or TV broadcasts ☐ Magazine or newspaper ☐ Motion picture films ☐ Letters or telegrams  
☐ Advertising campaigns ☐ Press releases ☐ Pamphlets or other publications ☐ Lectures or speeches  
☐ Other (specify) \_\_\_\_\_

**Electronic Communications**

- ☒ Email  
☒ Website URL(s): Luxembourg's own created and hosted links: [www.investinluxembourg.us](http://www.investinluxembourg.us), [ict.investinluxembourg.lu](http://ict.investinluxembourg.lu)  
☐ Social media websites URL(s): \_\_\_\_\_  
☐ Other (specify) \_\_\_\_\_

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- ☐ Public officials ☐ Newspapers ☐ Libraries  
☐ Legislators ☐ Editors ☐ Educational institutions  
☐ Government agencies ☐ Civic groups or associations ☐ Nationality groups  
☒ Other (specify) Luxembourg URLs in emails to business execs.

21. What language was used in the informational materials:

- ☒ English ☐ Other (specify) \_\_\_\_\_

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☒

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act?

Yes ☐ No ☒

<sup>12</sup> The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.



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**VI - EXECUTION**

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature<sup>13</sup>)

November 04, 2015

/s/ Jerrold S. Seeman

eSigned

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<sup>13</sup> This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

Jerrold S. Seeman  
Luxcore, Ltd.  
255 Drake Avenue, No. 7  
New Rochelle, NY 10805

Attachment Page 1.

12. During this six month reporting period, have you on behalf of any foreign principal engaged in political activity as defined below?

Yes.

Met with executive of international corporate services and trust firm, to discuss Luxembourg opportunities; met with the principal of a private equity firm, to discuss Luxembourg opportunities; attended the Paris Europlace conference, to source leads for the TIO; attended the Interphex medical manufacturing conference, to source leads for the TIO; attended the Dechert [law firm] *Global Alternative Funds Symposium*, to source leads for the TIO; attended a private business networking event in Connecticut, to source leads for the TIO; attended the *On Finance Conference* in New York, to source leads for the TIO; attended the *Thomson Reuters Financial and Risk Summit* to source leads for the TIO; continued developing North Carolina contacts for the Consul General's spring mission [subsequently cancelled and tentatively re-scheduled for 2016]; conducted outreach for business executive meeting opportunities for Luxembourg Prime Minister Bettel's June visit to New York; began researching meeting contacts for the Bio.org International Convention in June; liaised with deals/funds law partner to schedule meeting; met with executive of international outsource employment company, to develop opportunities for Luxembourg; met with new media technology developer to develop opportunities for Luxembourg; met with finance firm partner to develop opportunities for Luxembourg; registered for several June conferences, including the Cloud Expo New York, AxiomSL Users Conference; Frankfurt Kurnit legal seminar; attended the #TechDrinkup Annual Internet Week reception, to source leads for the TIO; attended a private law firm roundtable: "Investment Management and Hedge Funds: What's Happening Now?"; attended Belgium Atelier tech MeetUp; attended the three-day Bio.org International Convention in Philadelphia to develop leads for the TIO, met with private equity partner, to discuss opportunities for the TIO; attended the Swiss Enterprise event: "Disruptive Innovation," including the William Tell Awards; attended the "Intellectual Property Protection" panel of the New York Technology Council's Legal Essentials for Startups series; met with representatives of a financial firm to discuss Luxembourg participation in the Benelux bio conference in November 2015; responded to Luxembourg tech company, and the Director of Luxembourg Technoport, re: prospective domiciliation of company presence in New York; pursued leads arising out of attending the three-day Bio.org International Convention in Philadelphia; met with a state director of the European American Chamber of Commerce, to discuss TIO opportunities; attended the IBM Cloudant seminar and demo, to source leads for the TIO; met with executives of a Luxembourg trust and funds administration firm, to discuss mutual opportunities with the TIO; met with the CEO of a technology company, to discuss a potential visit to Luxembourg; met with a financial compliance technology firm, to discuss a potential visit to Luxembourg; communicated with attendee companies of Amazon Web Services

Summit in New York; met with Co-Chair of the Digital Media Wire New York Media Festival; attended the Amazon AWS Loft Startup Pitch Event and Summer Social; spoke with Florida-based finance executive, concerning a possible TIO event in Palm Beach in January 2016, arranged a meeting with, and with a private equity executive, to discuss company opportunities for the TIO; attended the private law firm "Marketplace Lenders" Funds Roundtable; attended the Amazon Loft presentation of adtech companies; began research on logistics opportunities to support prospective visit by Luxembourg logistics head in December 2015; attended LACC Networking/Cocktail Reception, to reinforce leads for the TIO; attended the Amazon AWS Loft "AWS Venture Capital Loft Talk," and sourced leads for the TIO; attended law firm Sidley & Austin's "Private Funds 2015: Developments & Opportunities" conference, and sourced leads for the TIO; attended Arendt & Medernach's event: "10th Anniversary of NY Office," to source leads for the TIO; attended the European American Chamber of Commerce [EACCNY] seminar: "Private Equity Forum – A Market Update," at law firm Orrick, Herrington & Sutcliffe, to source leads for the TIO; attended industry-only Interactive Advertising Bureau conference, MIXX, and sourced leads for the TIO; attended Bloomberg "BNA Central European Strategic Summit," and sourced leads for the TIO; attended the Citco Gateway Reception, and sourced leads for the TIO; met with Luxembourg-based accounting firm executive, to discuss prospective opportunities for the TIO; met with technology entrepreneur, to discuss prospective Luxembourg opportunity; provided media monitoring of Luxembourg-related coverage in *The Wall Street Journal* and other outlets; researched other TIO target company leads.

No speeches, lectures, radio or TV broadcasts were arranged, sponsored or delivered by Luxcore, Ltd. The intended audience of conducted activities is American senior business executives and consultants. These corporate executives initially were contacted by phone to determine their interest in meeting with the Consul General to discuss Luxembourg business opportunities. The objective of these activities is to identify American businesses that intend to expand their operations in Europe, and to encourage them to establish any such entities within the Grand Duchy of Luxembourg.

Jerrold S. Seeman  
Luxcore, Ltd.  
255 Drake Avenue No. 7  
New Rochelle, NY 10805

Attachment Page 2.

**14. (a) RECEIPTS-MONIES**

During this six month reporting period, have you ever received from any foreign principal named in Items 7, 8 and 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise?

Yes.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.

<u>Date</u>	<u>From Whom</u>	<u>Purpose</u>	<u>Amount</u>
	Luxembourg Trade & Investment Office		
4/10/15	"	March 2015 Fees	5,250.00
5/11/15	"	April 2015 Fees	5,460.00
5/19/15	"	May 2015 Fees	5,180.00
7/14/15	"	June 2015 Fees	6,440.00
8/07/15	"	July 2015 Fees	5,180.00
9/11/15	"	August 2015 Fees	5,110.00
		<b>Total:</b>	<b><u>\$32,620.00</u></b>

Jerrold S. Seeman  
 Luxcore, Ltd.  
 255 Drake Avenue, No. 7  
 New Rochelle, NY 10805

Attachment Page 3.

**15. (a) DISBURSEMENTS-MONIES**

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes. The following are out-of-pocket expenses expended by Luxcore, Ltd., in the course of its work on behalf of the Luxembourg Trade & Investment Office in New York (TI0).

5/15/15 #TechDrinkup New York event admission fee 11.54

6/15/15 – 6/17/15 Expenses incurred during attendance at Bio.org International Convention in Philadelphia

Matter:

6/15/15	Green Team Cab from New Rochelle to Manhattan	100.00
"	Starbucks	4.06
"	Devon & Blake	12.80
"	Sonesta Philadelphia	2.16
"	The Original Turkey	11.75
"	Hunger Burger	12.96
6/16/15	Eco Grounds [coffee]	3.50
6/17/15	Carmel Limo: Return trip from Manhattan to New Rochelle	72.50
TOTAL:		219.73

**\$231.27**  
**TOTAL**